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PTO/SB/08A (10-96)

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Substitute for form 1448A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number

Filing Date

First Named Inventor

Group Art Unit

Examiner Name

Mark Joseph Beard

05/07/030 07/05/00
1552 U S Ptp

Sheet 1 of 2 Attorney Docket Number 2-4-2-2

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

**Date
Considered**

9/2/03

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/088 (10-96)

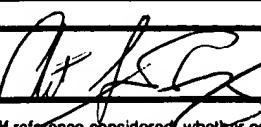
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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	
(use as many sheets as necessary)				Filing Date	
Sheet	2	of	2	First Named Inventor	Mark Joseph Bearden
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	2-4-2-2

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
CF	EE	Joel Conover, "Policy-Based Network Management" http://www.networkcomputing.com/shared/printArticle?article=nc/1024/1024ft.html&pub=nwc , November 1999			
CF	FF	R. Bhatia, et al., "A Policy-based Network Management System", Proceedings International Conference on Parallel and Distributed			
CF		Techniques and Applications, Las Vegas, Nevada, June 1999, 7 pages			
CF	GG	Mark A. Carlson, "Create automated and distributed management applications with Jiro technology, Part 1," http://www.-avaworld.com/javaworld/jw-02-2000/jw-02-jiro.p.html , February 2000, 8 pages			
CF	HH	L. Lewis, "On the Integration of Service Level Management and Policy-Based Control", In Proceedings, Policy Workshop 1999, HP-laboratories, Bristol, U.K. November 1999, 3 pages http://www-dse.doc.ic.ac.uk/events/policy-99/pdf/14b-Lewis.pdf			
CF	II	D. Verma et al., "Service Level Agreements and Policies", In Proceedings, Policy Workshop 1999, HP-Laboratories, Bristol, U.K., November 1999, 7 pages. http://www-dse.doc.ic.ac.uk/events/policy-99/pdf/12-wijnen.pdf			

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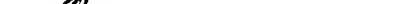
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Substitute for form 1449B/PTO		PATENT		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				OCT - 6 2000 ER 2700	
(use as many sheets as necessary)				Application Number	09/610,630
				Filing Date	July 5, 2000 TECH CENTER
				First Named Inventor	Mark Joseph Bearden
				Group Art Unit	2756
				Examiner Name	Unassigned
				Attorney Docket Number	2-4-2-2
Sheet	1	of	1		

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<i>CF</i>		M. CASASSA MONT et al., "POWER Prototype: Towards Integrated Policy-Based Management", http://www.hpl.hp.com/techreports/1999/HPL-1999-126.html , October 18, 1999, Pages (a) & 1-14	
<i>CF</i>			

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